

# PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No: 19036/36614A

## **CONTINUING APPLICATION TRANSMITTAL UNDER 37 CFR 1.53(b)**

Box Patent Application Commissioner for Patents Washington, D.C. 20231

Sir:

This is a request under 37 CFR 1.53 for filing a

- □ continuation application.
- divisional application.

### 1. Particulars of Prior Application

Application Serial No:

09/60,950

Filed on:

09/08/00

Title:

Recombinant Human Mannan-Binding Proteins and

Producing Method of The Same

Art Unit:

1645

Examiner:

S. Devi, Ph.D.

Prior Docket No.:

19036/36614

#### **CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this Continuing Application Transmittal Under 37 CFR 1.53(b) and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on **January 22**, 2002, in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EV 027095758 US.

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#### 2. This request is filed by:

1.	Family Name	First Given Name	Second Given Name
Full Name of Inventor	Wakamiya	Nobutaka	
	City	State or Foreign Country	Country of Citizenship
Residence & Citizenship	Osaka	Japan	Japan
	Post Office Address	City	State & Zip Code/Country
Post Office Address	9-20, Oike 1-chome, Ibaraki-shi	Osaka	Japan

This application is being filed by less than all the inventors named in the prior application. An accompanying statement requests deletion of the name(s) of the person(s) who are not inventors of the invention being claimed in this application.

#### 3. Amendments

- Amend the specification by inserting before the first line the sentence:
  - --This is a **divisional** of U.S. application Serial No. 09/600,950, filed September 8, 2000.--
- Cancel claims 1-5 in the prior application before calculating the filing fee.
- A Preliminary Amendment is enclosed.
- The filing fee is based upon entry of the foregoing amendment(s) (if any).

### 4. Copy of Prior Application

The enclosed is a copy of the prior complete application, including the specification (with claims), drawings, the oath or declaration, and any amendments referred to in the oath or declaration filed to complete the prior application.

#### 5. Incorporation By Reference

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under paragraph 4, above, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

### 6. Priority

Priority of application No. <u>Hei-10-11864</u>, filed on <u>January 23, 1998</u> in <u>Japan</u> is claimed under 35 USC 119.

		⊠	The certified copy was filed in prior U.S. application Serial No. 09/600,950.			
			The certified copy(ies) has(have) not been filed.			
7.	Assign	nment				
	×		or application is assigned of record to Fuso Pharmaceutical Industries, Ltd., s been recorded at Reel No. 011076, Frame No. 0749.			
8.	Small	Entity S	tity Status			
		Applica	ant claims small entity status. See 37 CFR 1.27.  A small entity statement is(are) attached.			
9.	Applic	ation to	Be Published			
	$\boxtimes$	Yes.				
		No. A	Request and Certification Under 35 U.S.C. §122(b)(2)(B)(i) is attached.			

# 10. Fee Calculation

			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE			1 30 1	\$370.00	, s vig	\$740.00
TOTAL	8 -20	= 0	X 9 =	\$	X 18 =	\$0
INDEP.	3 - 3	= 0	X 42 =	\$	X 84 =	\$0
☐ First Present	ation of Multiple De	ependent Claim	+ 140 =	\$	+ 280 =	\$0
			Filing Fee:	\$	OR	\$740.00

11. Method of Payment of Fee:	11.	Method	of Pa	yment	of	Fees
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$\boxtimes$	Attached is a check in the amount of:	\$ <u>740.00</u>
	Charge Deposit Account No. 13-2855 in the amount of: A copy of this Transmittal is enclosed.	\$

January 22, 2002

#### 12. **Deposit Account and Refund Authorization**

- The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 CFR 1.16 or 37 CFR 1.17 to Deposit Account No. 13-2855. A copy of this Transmittal is enclosed.
- $\boxtimes$ Please refund any overpayment to Marshall, Gerstein & Borun at the address below.

#### 13. Correspondence Address

Customer No.: 04743

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN 6300 Sears Tower 233 South Wacker Drive Chicago, Illinois 60606-6357 (312) 474-6300 (312) 474-0448 (Telefacsimile)

By:

Mark H. Hopkins, Ph.D.

Reg. No: 44,775

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